

Modeling Human Neural Functionality *In Vitro*: Three-Dimensional Culture for Dopaminergic Differentiation

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Advances in mechanistic knowledge of human neurological disorders have been hindered by the lack of adequate human *in vitro* models. Three-dimensional (3D) cellular models displaying higher biological relevance are gaining momentum; however, their lack of robustness and scarcity of analytical tools adapted to three dimensions hampers their widespread implementation. Herein we show that human midbrain-derived neural progenitor cells, cultured as 3D neurospheres in stirred culture systems, reproducibly differentiate into complex tissue-like structures containing functional dopaminergic neurons, as well as astrocytes and oligodendrocytes. Moreover, an extensive toolbox of analytical methodologies has been adapted to 3D neural cell models, allowing molecular and phenotypic profiling and interrogation. The generated neurons underwent synaptogenesis and elicit spontaneous Ca^{2+} transients. Synaptic vesicle trafficking and release of dopamine in response to depolarizing stimuli was also observed. Under whole-cell current-and-voltage clamp, recordings showed polarized neurons ($V_m = -70 \text{ mV}$) and voltage-dependent potassium currents, which included A-type-like currents. Glutamate-induced currents sensitive to α -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid and N-methyl-D-aspartate antagonists revealed the existence of functional glutamate receptors. Molecular and phenotypic profiling showed recapitulation of midbrain patterning events, and remodeling toward increased similarity to human brain features, such as extracellular matrix composition and metabolic signature. We have developed a robust and reproducible human 3D neural cell model, which may be extended to patient-derived induced pluripotent stem cells, broadening the applicability of this model.

Introduction

THE DEMAND FOR ROBUST and predictable human *in vitro* models that can bridge the gap between human clinical studies and animal models is steadily increasing. Advances in our understanding of human diseases as well as drug development have been hindered by the lack of reliable model systems.^{1,2} The study of central nervous system

(CNS) disorders, such as Parkinson's disease (PD), would particularly benefit from the development of more accurate cellular models, since only about 8% of new drugs that enter clinical trials are approved and reach the market.³ In the case of PD, the available chemical or genetically induced animal models poorly mimic most pathological features, due to fundamental biochemical, metabolic, and genetic differences between species.⁴ Important advances have been

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made toward the development of human cellular models using immortalized cell lines, embryonic or adult neural stem cells and, more recently, induced pluripotent stem cells (iPSCs).⁴

Cell fate is determined by processes that integrate a wide range of external cues, such as nutritional status, growth factors, mechanical cues, cell–cell, and cell–extracellular matrix (ECM) interactions.^{2,5} Thus, when aiming at mimicking the main features of tissues, it is imperative to establish three-dimensional (3D) cellular networks, which play critical roles in cell fate, tissue specificity, and homeostasis.⁶ Therefore, *in vitro* cellular models with a higher spatial degree of complexity are necessary. With the growing set of platforms amenable to high-throughput screening (HTS), as well as the increasing power of methodologies that allow more comprehensive readouts, human 3D *ex vivo* models can contribute to generate accurate and predictive cell-based drug and toxicity screenings. Several cellular systems have been established, namely, organotypic cultures, which fail to maintain long-term viability, and 3D *in vitro* cell cultures, either within matrices, highly dependent on time-consuming scaffold engineering and preparation,⁷ or as free-floating aggregates.⁶

By taking advantage of the potential of many cell types to self-organize into 3D structures, with secretion of ECM,^{5,8} one may culture isolated cells as 3D spheroids,^{5,6} which in case of neural cultures are referred to as neurospheres and have been reported to mimic basic processes of brain development.⁹ Different methods for aggregation have been explored, including spontaneous aggregation under static conditions and in rotating wall vessels or induced aggregation in stirred culture systems.⁵ As shown by our group, human midbrain-derived neural progenitor cells (hmNPCs) from fetal origin can be successfully cultured in low oxygen and serum-free medium as neurospheres in stirred culture systems and differentiated toward the dopaminergic lineage.¹⁰

In this work, we further explored this dynamic culture system in order to attain more efficient dopaminergic differentiation and neuronal maturation, along with a comprehensive set of characterization methods adapted for a 3D setting. By extending culture time in the presence of cAMP, we were able to establish a reliable 3D differentiation process in which dopaminergic and synaptic markers were upregulated, recapitulating key events of midbrain development. Moreover, the expression of synaptic markers and their assembly in synaptic-like microvesicles resulted in increased neuronal functionality, as suggested by the ability to spontaneously elicit Ca^{2+} -firing and respond to depolarizing stimuli, analyzed by 3D live imaging. The functionality of the generated neurons was further confirmed by the appearance of hyperpolarized resting potentials, voltage-activated currents, and glutamate-evoked currents. Therefore, this 3D human neural model is biologically relevant, and it can be exploited for different applications ranging from mechanistic studies on disease pathogenesis to drug screening.

Materials and Methods

Two-dimensional cell expansion

hmNPCs derived from aborted fetal brain tissue 12–14 weeks postfertilization^{10,11} were kindly provided by

Dr. Johannes Schwarz (Technical University of Munich). Tissue was obtained with mother's consent and in accordance with the ethics committee of the University of Leipzig and the German state and federal laws. Expansion of hmNPCs was performed on poly-L-ornithine-fibronectin (PLOF)-coated surfaces and serum-free medium, as described previously.^{10,11} Expansion medium (EM) was composed of Dulbecco's modified Eagle medium and Ham's F12 Nutrient Mix (both from Invitrogen) in a 1:1 ratio, 2% B27 supplement (Invitrogen), 20 ng/mL recombinant human (rhu)-fibroblast growth factor (FGF) 2 and rhu-epidermal growth factor (EGF) (both from PreproTech), 1 $\mu\text{g/mL}$ tocopherol (Fluka), 1 $\mu\text{g/mL}$ tocopherol acetate (Sigma), and 10 $\mu\text{g/mL}$ gentamycin (Invitrogen). Cells were maintained in a multigas cell incubator (Sanyo) at 37°C, in a humidified atmosphere of 5% CO_2 and 3% O_2 in air. A 100% media exchange was performed every 3–4 days. Splitting was typically performed every 14 days, at 90–100% confluence, dislodging cells through incubation with Accutase (Sigma). The cell suspension was used to inoculate PLOF-coated T-flasks, at a cell density of 3×10^4 cell/ cm^2 .

3D neurosphere differentiation

hmNPCs were cultured in dynamic culture systems, using shake flasks (Corning) under constant orbital shaking (stirring rate = 100 rpm) at 37°C in a multigas cell incubator (Sanyo), with a humidified atmosphere of 5% CO_2 and 3% O_2 in air. Typically, flasks were inoculated at 2×10^5 cells/mL (single-cell suspension) in aggregation medium (AM; EM with lower mitogen concentration 5 ng/mL of FGF2 and EGF) and maintained in AM for 7 days with a 50% medium exchange performed at days 3–4. At day 7 of aggregation (7Diff), differentiation of neurospheres was induced by exchanging AM to differentiation medium (DM; neurobasal medium [Invitrogen] supplemented with 2% of B27, 2 mM of Glutamax [Invitrogen], 100 μM dibutyl cAMP [Sigma-Aldrich], 10 μM forskolin [Sigma-Aldrich], 100 μM fusaric acid [Sigma-Aldrich], and 10 $\mu\text{g/mL}$ gentamycin [Invitrogen])¹². After 14 days in DM (21Diff), neurospheres were further cultured in maturation medium (MM; same composition of DM, except for removal of forskolin and fusaric acid) for 18 days (18Mat). A 75% medium exchange was performed every 2–3 days.

Viability assay

For cell viability assessment, neurospheres were incubated with 20 $\mu\text{g/mL}$ fluorescein diacetate, which stains viable cells, and with 10 $\mu\text{g/mL}$ propidium iodide, a membrane-impermeable DNA dye that stains nonviable cells, in phosphate-buffered saline (PBS) for 5 min, washed with PBS, and observed using fluorescence microscopy (DMI6000; Leica).

Fluorescence microscopy

Neurospheres were plated on PLOF-coated glass coverslips and allowed to attach for 3 days, fixed in 4% paraformaldehyde + 4% sucrose in PBS for 40 min, and processed for immunostaining as described previously.¹³ The antibodies used for population characterization, as well as the secondary antibodies are described in Supplementary Table S1 (Supplementary Data are available online at www.liebertpub.com/tea); cell nuclei were counterstained with TO-PRO-3 (Invitrogen).

Samples were visualized using fluorescence (DMI6000; Leica) and point-scan confocal (SP5; Leica) microscopy. Merge between channels and maximum z-projections, as well as linear brightness and contrast adjustments of the images were performed using the open-source ImageJ software.

Electron microscopy

Neurospheres were fixed in 2.5% glutaraldehyde and 4% formaldehyde in 0.1 M phosphate buffer (pH 7.4) and then processed for scanning electron microscopy (SEM), transmission electron microscopy (TEM), or serial block-face SEM (SBFSEM). For SEM, samples were dehydrated, critical point dried, mounted on stubs, coated with a thin layer of gold, and imaged with a 6700F field emission SEM (JEOL Ltd.). Secondary electron images were collected at 5 keV with a probe current of 10 μ A and a probe distance of 7.8–7.9 mm. For TEM and SBFSEM, samples were prepared using the National Center for Microscopy and Imaging Research (NCMIR) method.¹⁴ For TEM, 70-nm sections were collected from neurospheres embedded in Durcupan resin using a UCT ultramicrotome (Leica Microsystems). No poststaining was required due to the density of metal deposited using the NCMIR protocol. Images were acquired using a Tecnai G2 Spirit Biotwin TEM (FEI) and an Orius CCD camera (Gatan). For SBFSEM, neurospheres embedded in Durcupan resin were mounted on a pin and trimmed to a block face of < 1 mm². Imaging was performed in a Sigma variable-pressure SEM (Carl Zeiss) equipped with a 3View ultramicrotome (Gatan) for automated serial imaging within the SEM chamber. Images were collected at 4 keV with a pixel dwell time of 3 μ s at 40 Pa. One thousand serial images were collected overnight for each dataset with 7.2 nm² pixels and a slice thickness of 75 nm. The resulting image stack was aligned using Amira (Visage Imaging, Inc.) and individual cells were manually segmented and rendered using the same software.

Metabolic profiling

Metabolic profile of neurospheres was assessed at 7Diff and 18Mat and was performed using MM for both cultures, in order to discard the influence of different media composition. Neurospheres were plated on PLOF-coated plates and allowed to attach to the surface. A washing step with PBS was performed before adding fresh MM to the culture. Samples of supernatant were then collected at 6, 12, 24, and 48 h after media exchange and stored at –20°C. Neurospheres were harvested and total protein was quantified with Micro BCA Protein Assay Kit (Pierce), according to manufacturer's instructions. Prior to nuclear magnetic resonance (NMR) analysis, samples were thawed and filtered using Vivaspin 500 columns (Sigma-Aldrich) at 14,000 g. To minimize variations in pH, 400 μ L of filtered samples was mixed with 200 μ L of phosphate buffer (50 mM, pH 7.4) with 5 mM DSS-d₆ and centrifuged at 1000 g for 1 min. For NMR analysis, 500 μ L of the resulting supernatants were placed into 5-mm NMR tubes. All ¹H-NMR spectra were recorded at 25°C on a Bruker Avance II+ 500 MHz NMR spectrometer. One-dimensional spectra were recorded using a NOESY-based pulse sequence (4 s acquisition time, 1 s relaxation time, and 100 ms mixing time). Typically, 256 scans were collected for each spectrum. All spectra were

phase and baseline corrected automatically, with fine adjustments performed manually. Spectra analysis was performed using Chenomx NMR Suite 7.1, using DSS-d₆ as internal standard for quantification of metabolites.¹⁵

Real time quantitative reverse transcription polymerase chain reaction

Total RNA was extracted with High Pure RNA Isolation Kit (Roche) or RNeasy Mini Kit (Qiagen), according to the manufacturer's instructions. RNA was quantified in a NanoDrop 2000c (Thermo Scientific) and used for cDNA synthesis. Reverse transcription was performed with High Fidelity cDNA Synthesis Kit (Roche), using Anchored-oligo(dT)18 Primer (Roche) or with the Super Script III First Strand synthesis system (Invitrogen), using random hexamers (Invitrogen). Real time quantitative reverse transcription polymerase chain reaction (qRT-PCR) were performed in triplicates using LightCycler 480 SYBR Green I Master Kit (Roche) and primers were listed in Supplementary Table S2. As alternative, TaqMan Universal PCR Master Mix (Applied Biosystems) and TaqMan® Gene Expression Assays (Applied Biosystems) listed in Supplementary Table S3 were used. Reactions were performed with Applied Biosystems 7300 Real Time PCR system or LightCycler 480 Instrument II 96-well block (Roche). Quantification of cycle values and melting curves was determined using LightCycler 480 Software version 1.5 (Roche). All data were analyzed using the 2^{– $\Delta\Delta C_t$} method for relative gene expression analysis.¹⁶ Changes in gene expression were normalized using the housekeeping gene *RPL22* (ribosomal protein L22) as internal control.

Microarray analysis

Three independent biological replicates of both undifferentiated and differentiated samples were analyzed by using the Affymetrix HG U133 plus2 gene chips, interrogating more than 47,000 transcripts. Microarray data were normalized by the log scale robust multiarray analysis procedure using R (Bioconductor) and differentially expressed genes were obtained with limma package.¹⁷ A moderated *t*-test was performed between differentiated and undifferentiated groups selecting genes with a false discovery rate (FDR) value ≤ 0.01 and with ≥ 2 -fold change for upregulated genes and ≤ 2 -fold change for downregulated genes.

The identified genes were categorized in generic gene ontology (GO) functional clusters using Cytoscape_v 2.8.3 and its plug-in BINGO 2.44 (Biological Networks Gene Ontology tool).¹⁸ The significance of overrepresented GO categories was assessed with a hypergeometric test and the Benjamini and Hochberg FDR correction. A corrected *p*-value < 0.05 was considered significant and only significantly overrepresented GO categories are presented.¹⁹

Western blot

Cells were lysed in lysis buffer (50 mM Tris, 5 mM ethylenediaminetetraacetic acid, 150 mM NaCl, and 1% Triton X-100 [pH 7.4]) for 30 min at 4°C. Extracts were clarified by centrifugation at 15,000 g for 10 min, followed by protein precipitation by overnight incubation in 80% ethanol. Precipitated proteins were collected at 15,000 g for 15 min and

solubilized in reducing NuPAGE sample buffer (Invitrogen). Total protein was quantified with Micro BCA Protein Assay Kit (Pierce). Protein extracts were resolved on a 1 mm NuPAGE® Novex BisTris gel (Invitrogen) under reducing conditions and transferred with iBlot system (Invitrogen), according to the manufacturer's instructions. Membranes were blocked by incubation for 1 h with blocking solution (0.1% Tween 20 and 5% dry milk in PBS), and incubated overnight with primary antibody (Supplementary Table S1) diluted in blocking solution. Blots were developed using the enhanced chemiluminescence (ECL) detection system after incubation for 1 h at room temperature with horseradish-peroxidase-labeled anti-mouse immunoglobulin G or anti-rabbit antibody (GE Healthcare) at 1:5000 dilution. Chemiluminescence detection was performed by incubating the membranes with Amersham ECL Prime western blotting detection reagent (GE Healthcare) and analyzed under ChemiDoc XRS System (Bio-Rad).

Synaptic vesicle trafficking

Neurospheres plated on PLOF-coated glass coverslips were washed with PBS and exposed to a high-potassium-depolarizing solution (100 mM KCl buffer; Supplementary Table S4), for 5 min. Afterward, neurospheres were incubated with 10 μ M FM 1–43 dye (Invitrogen) dissolved in normal saline (5 mM KCl buffer; Supplementary Table S4) for 15 min and washed with ADVASEP-7 (Sigma) dissolved in 5 mM KCl buffer for 1 min. This was followed by three washes of 1 min with 5 mM KCl buffer prior to imaging. Exocytosis was stimulated with 100 mM KCl buffer and samples were imaged live in a fluorescence microscope (DMI6000; Leica) to monitor synaptic vesicle release. Fluorescence intensity was measured using ImageJ.

Calcium assay

Neurospheres were incubated with 1 \times Fluo4 Direct calcium reagent (Invitrogen) for 30 min at 37°C, 5% CO₂, and 3% O₂ and for 15 min at room temperature. Samples were then imaged live using spinning-disk microscopy (Nikon Eclipse Ti-E, confocal scanner: Yokogawa CSU-x1). Fluorescence change over time is defined as $\Delta F/F_0 = (F - F_0)/F_0$, where F is the fluorescence at any time point, and F_0 the baseline fluorescence determined by baseline fitting across the whole movie for each cell using PeakFit Software (v4.12).

Neurotransmitter release and quantification

Neurotransmitter synthesis and release was assessed at 18Mat. Neurospheres plated on PLOF-coated glass coverslips were washed with PBS and exposed to a high-potassium-depolarizing solution (100 mM KCl buffer; Supplementary Table S4) for 15 min. The obtained supernatant was then collected and stored at –20°C prior to high-performance liquid chromatography (HPLC) analysis. Dopamine in cell supernatants was quantified by HPLC after sample precipitation with 10% perchloric acid (8:1). The separated monoamines were detected by fluorescence²⁰ and quantified by comparison to a calibration curve of dopamine. GABA was quantified by HPLC after supernatant freeze drying, using a precolumn derivatization method (Waters AccQ.Tag Amino Acid Analysis) previously described.²¹

Electrophysiology recording

Neurospheres were visualized in an inverted phase-contrast microscope. Whole-cell voltage-clamp recordings were made from neurons within differentiated neurospheres at room temperature using an Axopatch 200B (Axon Instruments, Inc.). Microelectrode contact to individual cells was made visually (cells on the neurosphere surface) or in deeper layers, using the blind approach common in brain slice recordings.²² Microelectrodes (1.2–3.0 M Ω) were pulled from borosilicate glass (Science Products GmbH). Two sets of solutions were used: one to record voltage-activated K⁺ conductances and to estimate membrane potential (V_m , under current clamp) (set 1), and a second to record excitatory ligand-activated currents (set 2). For set 1, electrodes were filled with solution containing (in mM) KMeSO₄ (140), MgCl₂ (1), HEPES (10), EGTA (10), CaCl₂ (1), Na₂ATP (2), and Na-GTP (0.4) (pH 7.2–7.3) and titrated with KOH (calculated free [Ca²⁺] = 60 nM by Webmaxclite v1.15; MaxChelator), and external solution to record outward K⁺ currents contained (in mM) NaCl (135), KCl (5.4), CaCl₂ (2), MgCl₂ (1.5), HEPES (10), and D-glucose (25) (pH 7.4) and titrated with NaOH. In set 2, the pipette solution contained (in mM) CsF (140), NaCl (10), HEPES (10), and EGTA (5) (pH 7.3) and titrated with CsOH, and external solution contained (in mM) NaCl (100), KCl (5), HEPES (10), CaCl₂ (1.8), MgCl₂ (1), TEA-Cl (24), 4-amino-pyridine (3), and D-glucose (23) (pH 7.4) and titrated with NaOH. External bathing solution was constantly superfused (~2–3 mL/min).

The junction potentials estimated on JPCalc software (v2) for the external solution for set 1 of solutions was –8.8 and –8.5 mV for set 2 of solutions; data were not corrected for the junction potential. Currents were measured with cell capacitance compensation and series resistance compensation (80%), filtered at 2 kHz, sampled at 5 kHz, using a Digidata 1200 ADC converter (Axon Instruments) and pClamp software (v6). Time was allowed for the stabilization of the recording before experiments were conducted.

Different voltage-clamp protocols were applied according to the experimental needs, as follows: (1) to study outward currents: a single command pulse to a fixed voltage was used and, to isolate the fast-current component, such command pulse was preceded by a pulse to –120 or to –30 mV. Sets of incremental depolarizing commands were used for the characterization of the voltage dependence of activation. In this protocol, leakage current was compensated *a posteriori* from the current-voltage relation generated by a set of 12 prepulses in increments of 2 mV from –75 mV. To study steady-state inactivation, a single-command step was preceded by incremental prepulses.²³ L-Glutamate-induced currents were obtained by continuous recording under variable resting potentials. Glutamate was administered with a handmade adaptation of the perfusion system using gravity. Details of all the voltage protocols are given in the “Results” section.

Whole-cell data were analyzed using Clampfit (v9) (Axon Instruments, Inc.), Pulsefit (v8.67), and Origin (v5) (Microcal Origin). Outward currents were measured as illustrated previously.²³ Peak current was taken for current amplitude of the faster current component; for each experiment, current decay was best fit with a sum of two exponentials

(Eq. 3). For each current sweep, the amplitude of the slower component was taken at a time equal to $5 \times \tau_{\text{fast}}$ from the start of the command pulse. For steady-state inactivation and activation profiles, current values were fit with the following Boltzmann equation:

$$I = A_1 - A_2 / \{1 + \exp[(V_{1/2} - V)/V_s]\} + A_2, \quad (1)$$

where I is the current amplitude at the test potential V , $V_{1/2}$ is the half-activation potential, V_s is the slope constant, and A_1 and A_2 are coefficients.

In the case of voltage dependence of activation, data were converted to conductance using the relationship $G = I/(V - E_{K^+})$, where I is current amplitude, V is the step command potential, and E_{K^+} the estimated equilibrium potential for K^+ . Results were plotted against the step command potential and fit with the following equation:

$$G = A_1 - A_2 / \{1 + \exp[(V - V_{1/2})/V_s]\} + A_2 \quad (2)$$

In the vast majority of the cases, current relaxations required the sum of two exponentials, using the following equation:

$$F(t) = A_f \exp(-t/\tau_{\text{fast}}) + A_s \exp(-t/\tau_{\text{slow}}) + C, \quad (3)$$

where τ_{fast} and τ_{slow} are the time constants of the fast and slow inactivating components, respectively; A_{fast} and A_{slow} are the respective coefficients; and C is a constant.

Statistical analysis

Data are expressed as the mean \pm standard error of the mean. Data were analyzed using GraphPad Prism (version 5.01) by an analysis of variance, followed by Tukey's *post-hoc* multiple-comparison test, and for metabolic profiling data using a two-tailed *t*-test. The α value was set at 0.05 with a 95% confidence interval and statistical significance was defined based on *p*-value ($***p < 0.001$, $**p < 0.01$, and $*p < 0.05$).

Results

hmNPC 3D differentiation induces molecular, metabolic, and morphological remodeling

To determine the potential of hmNPCs to generate innovative cellular models for CNS diseases, we assessed the cellular changes induced by 3D culture conditions. For multipotent hmNPC differentiation, cells expanded in two-dimensional (2D) cultures were kept in suspension culture systems with constant stirring (Fig. 1A). As recently described by our group,¹⁰ hmNPCs efficiently organized into neurospheres, resulting in a homogenous culture in terms of neurosphere size, ranging between 300 and 400 μm , as well as high cell viability (Supplementary Fig. S1A, B).

The onset of differentiation led to a significant downregulation (up to 4-fold) in the expression of the DNA polymerase cofactor PCNA (Fig. 1B), which was maintained during 32 days of differentiation, suggesting a decrease in cell proliferation. Concomitantly, the neurotrophic receptors TrkA and TrkB were positively modulated upon differentiation with a 2- and 28-fold increase at 18Mat, respectively (Fig. 1B). The expression of these tyrosine kinase

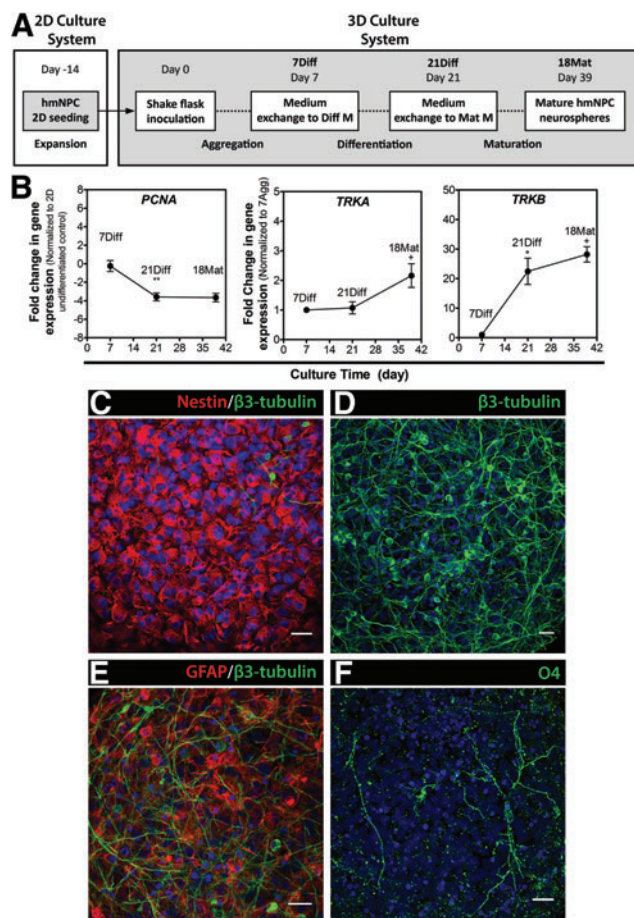


FIG. 1. Differentiation and cell population dynamics of hmNPCs as 3D neurospheres. **(A)** Workflow of expansion and 3D differentiation of hmNPC cultures (Diff M, differentiation medium; Mat M, maturation medium). **(B)** qRT-PCR analysis of *PCNA*, *TRKA*, and *TRKB* gene expression. Fold changes in gene expression normalized to undifferentiated hmNPCs expanded in 2D culture systems (*PCNA*) or to 7Diff (*TRKA* and *TRKB*). Data are mean \pm SEM of three independent cultures; asterisks indicate significant difference ($*p < 0.05$, $**p < 0.01$ vs. 7Diff; $+p < 0.05$ vs. 21Diff) by a one-way ANOVA analysis with a Tukey's *post-hoc* multiple-comparison test. **(C–F)** Confocal immunofluorescence microscopy of whole neurospheres from 7Diff (**C**) and 18Mat (**D–F**). Maximum-intensity z-projections of 22 (**C**), 7 (**D**), 5 (**E**), and 25 (**F**) optical sections of 0.5 μm . Scale bars = 20 μm . Detection of nestin, β 3-tubulin, GFAP, and O4; nuclei were labeled with TO-PRO-3. 2D, two-dimensional; 3D, three-dimensional; 7Diff, 7 days in aggregation medium; 21Diff, 14 days in differentiation medium; 18Mat, 18 days in maturation medium; hmNPCs, human midbrain-derived neural progenitor cells; SEM, standard error of the mean; ANOVA, analysis of variance. Color images available online at www.liebertpub.com/tea

receptors, activated by different neurotrophic factors, has been correlated with midbrain dopaminergic neurons during pre- and postnatal development,^{24,25} with reports suggesting an increased dependence on TrkB/BDNF signaling with differentiation.²⁶

In contrast to 7Diff, when cells presented predominantly a progenitor phenotype, expressing the early neuroepithelial

marker nestin (Fig. 1C), by 18Mat, a dense neurite network of β 3-tubulin-positive neurons was observed both at the surface and inside the neurospheres (Fig. 1D). Moreover, differentiation into the three neural lineages was observed, as cells positive for the astrocytic-lineage marker glial fibrillary acidic protein (GFAP) and oligodendrocytic-lineage O4 were also detected (Fig. 1E, F).

Morphological changes, including membrane rearrangements and cytoplasmic volume reduction, were observed during the differentiation process (Fig. 2; Supplementary Videos S1 and S2), with a transition from lamellipodia, which were abundant in early differentiation stages (Fig. 2A, C), toward filopodia (Fig. 2B, D). In parallel, the complexity of cell processes and arborization of the network increased (Fig. 2E, F), with thin filopodia (0.2–0.5 μ m) protruding from the cell processes (Fig. 2H), which may indicate the ability of differentiating neurons to undergo

synaptogenesis and form dendritic spines.^{27,28} Putative synaptic precursor sites were already visible in 7Diff neurospheres (Fig. 3D), suggesting that the synaptic machinery might be activated at early differentiation stages. Ultrastructural examination revealed intact mitochondria, Golgi apparatus, and endoplasmic reticulum both in undifferentiated (7Diff, Fig. 3C) and differentiated cultures (21Diff and 18Mat, Fig. 3G, K respectively), consistent with the cells within the neurospheres being healthy

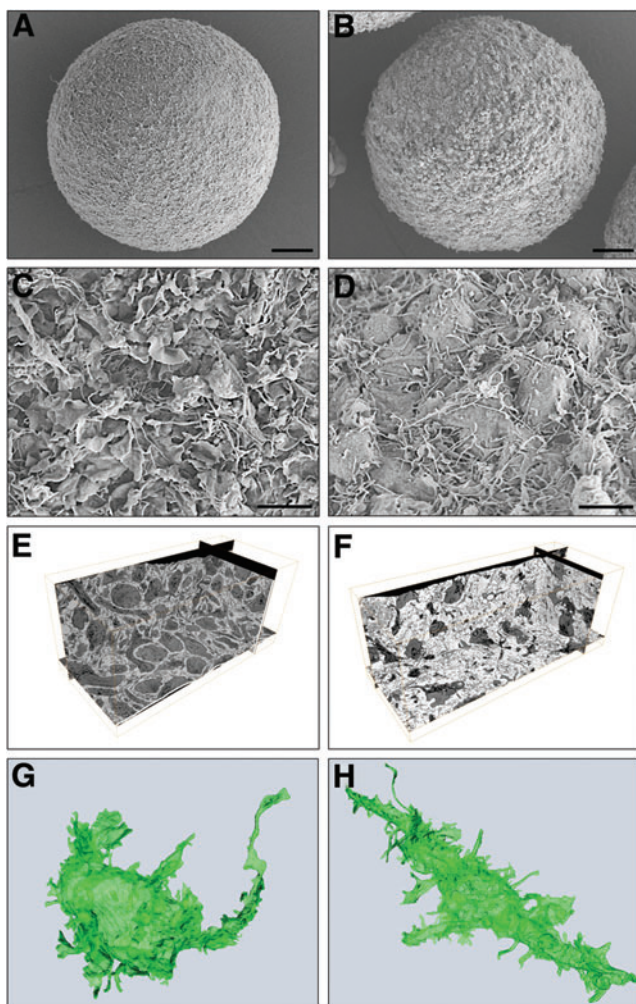


FIG. 2. Morphological characterization of hmNPC neurospheres along differentiation. (A–D) Scanning electron microscopy of neurospheres from 7Diff (A, C) and 21Diff (B, D). (E, F) SBFSEM of neurospheres from 7Diff (E) and 21Diff (F). (G, H) Single-cell reconstruction obtained from SBFSEM data from 7Diff (G) and 21Diff (H). Scale bars = 50 μ m (A, B) and 5 μ m (C, D). SBFSEM, serial block-face scanning electron microscopy. Color images available online at www.liebertpub.com/tea

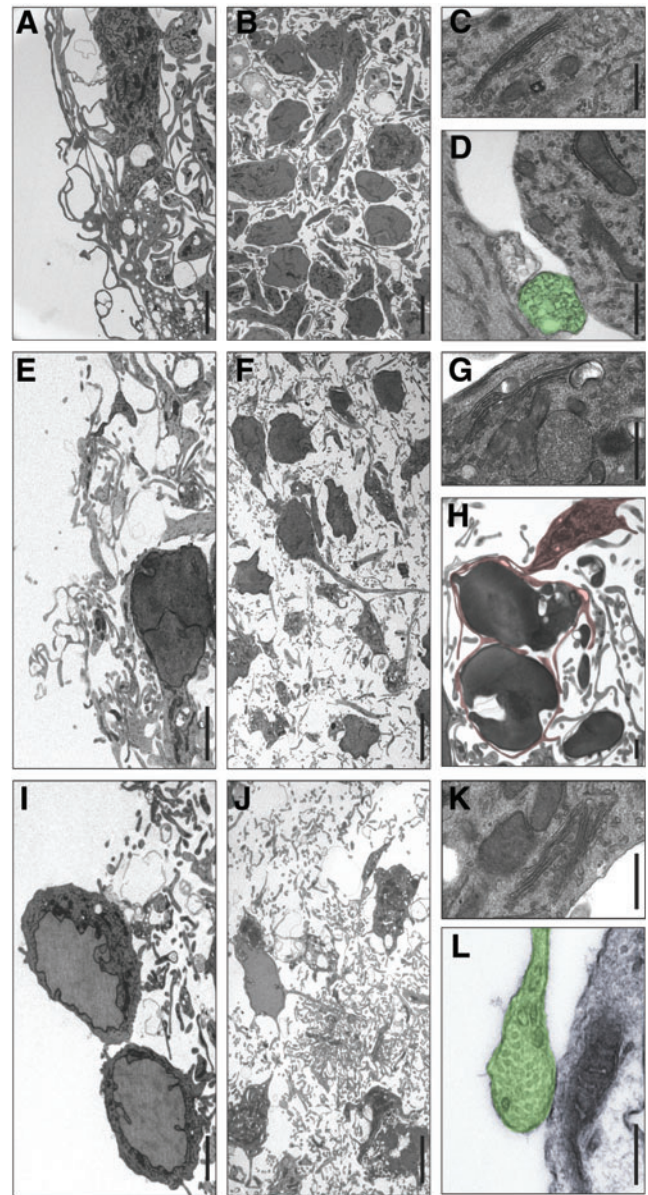


FIG. 3. Ultrastructural characterization of hmNPC neurospheres along differentiation. Transmission electron microscopy of external (A, E, I) and inner layers (B, F, J) of hmNPC neurospheres at day 7Diff (A, B), 21Diff (E, F), and 18Mat (I, J). *Inset* of a cell depicting Golgi apparatus at 7Diff (C), 21Diff (G), and 18Mat (K). (D) Putative site of synaptogenesis at 7Diff (in green). (H) Oligodendrocyte-like cell at 21Diff (in red). (L) Putative synaptic site at 18Mat (in green). Scale bars = 2 μ m (A, E, I), 5 μ m (B, F, J), 0.5 μ m (C, D, G, H, K), and 0.2 μ m (H). Color images available online at www.liebertpub.com/tea

and metabolically active. These features were observed throughout the neurospheres (for 7Diff, 21Diff, and 18Mat; Fig. 3A, B and E, F and I, J, respectively), which lacked any obvious sign of a necrotic center (Supplementary Videos S1–S3). Interestingly, in differentiated cultures (21Diff), it was also possible to identify cells with morphological features typical of oligodendrocytes, enfolding neighboring cells with membrane protrusions (Fig. 3H). By 18Mat putative synaptic sites enriched in synaptic vesicles and contacting adjacent cells were identified (Fig. 3L).

Aiming at assessing metabolic alterations along differentiation, ^1H -NMR metabolic profiling of cell supernatants along 48 h of culture was determined for undifferentiated and differentiated hmNPC neurospheres (Fig. 4; Supplementary Fig. S2). 7Diff neurospheres presented high-glucose consumption to lactate production ratio ($Y_{\text{Lac/Glc}} = 1.68 \pm 0.22$), indicating anaerobic glucose utilization in progenitor cells. Despite taking up glucose at lower rates (Fig. 4B), differentiated hmNPC neurospheres maintained the reliance on glycolytic metabolism ($Y_{\text{Lac/Glc}} = 1.91 \pm 0.12$). As for pyruvate uptake and alanine accumulation rates, a 4-fold increase and decrease, respectively, were observed (Fig. 4B), suggesting changes during differentiation in the alanine-lactate shuttle. Although glutamate was not detected in hmNPC cultures, its cyclized form pyroglutamate was released at higher rates in differentiated cultures (Fig. 4A, B). Conversely, the accumulation rates of 2-oxoisocaproate and methylsuccinate, metabolites that result from the metabolism of branched-

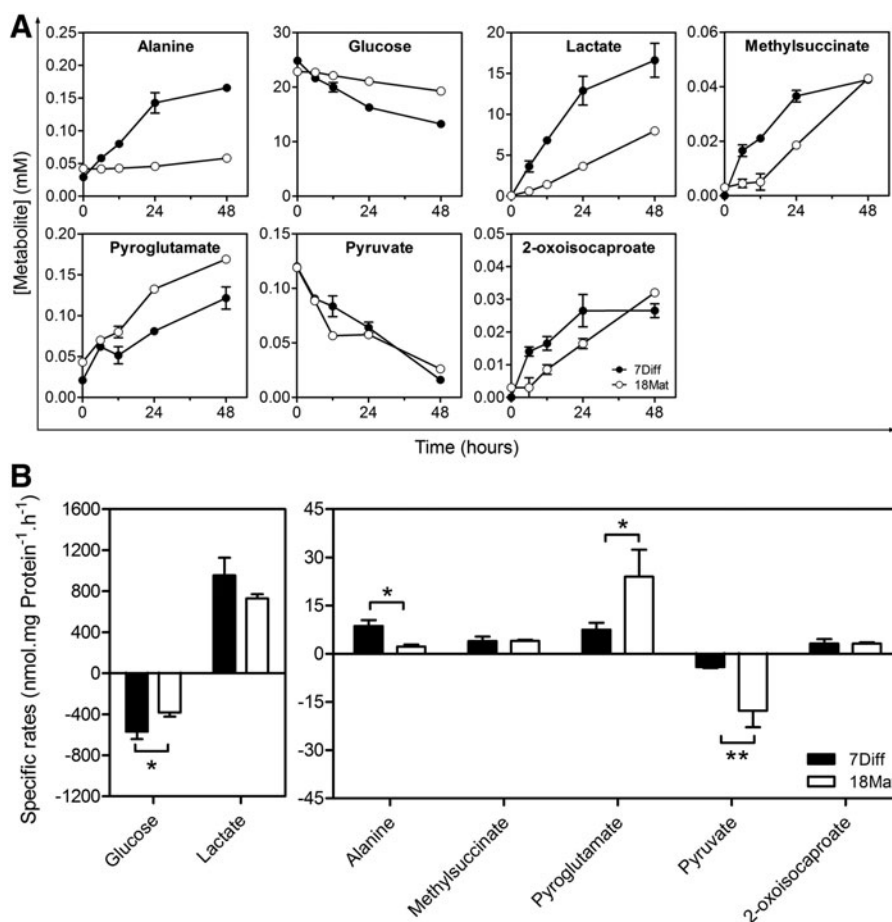
chain amino acids (BCAAs), were maintained in undifferentiated and differentiated cultures.

3D differentiation activates neurogenesis developmental pathways

A coordinated change in gene expression is a hallmark of cellular differentiation. We therefore decided to study the transcriptomic programs that were modulated during hmNPC neurosphere differentiation. Global transcriptional analysis was performed in differentiated neurospheres (21Diff) and compared with undifferentiated hmNPCs (Fig. 5A). The gene expression profile showed that 807 probes, which corresponded to 664 unique genes, were differently modulated upon neurosphere differentiation.

GO analysis showed that the 3D differentiation process led to a significant enrichment in genes involved in cell cycle, cell differentiation, cytoskeleton organization, and proteinaceous ECM (Fig. 5B). Genes involved in the cell cycle progression, such as *MKI67*, *cyclin A2*, *cyclin B1*, and *cyclin D1*, and mitotic genes like *BUB1B*, *BUB1*, *CCNB1*, *CDC25A*, *CDK1*, *AURKA*, *KIF23*, *MAD2L1*, and *BIRC5* were significantly downregulated after 3D differentiation, suggesting an increase in cells exiting the cell cycle. Additionally, markers of neural stem cells, such as *SPRY1*, *SPRY2*, and *FBXO5*, were also downregulated, whereas genes involved in neurogenesis and neuronal metabolism, namely, *NOTCH2*, *PAX6*, *PRDM16*, *NR4A2*, *PDE3A*, *DCLK1*, *SCG2*, *PAQRB*, *EFNA5*, *MAPT*, *APOE*, and *MAL*,

FIG. 4. Metabolic profiling of hmNPC neurospheres along differentiation. **(A)** Concentration profiles of the main metabolites quantified in the exometabolome of hmNPC cultures at 7Diff (black circles) and 18Mat (white circles) that have significantly changed during 48 h. Data from one representative experiment of two independent experiments. **(B)** Specific rates ($\text{nmol} \cdot \text{mg protein}^{-1} \cdot \text{h}^{-1}$) determined at 7Diff and 18Mat. Data are mean \pm SEM of regression analysis performed with all time points from two independent experiments, with two replicates each. Asterisks indicate significant difference ($*p < 0.05$ and $**p < 0.01$) by a two-tailed *t*-test.



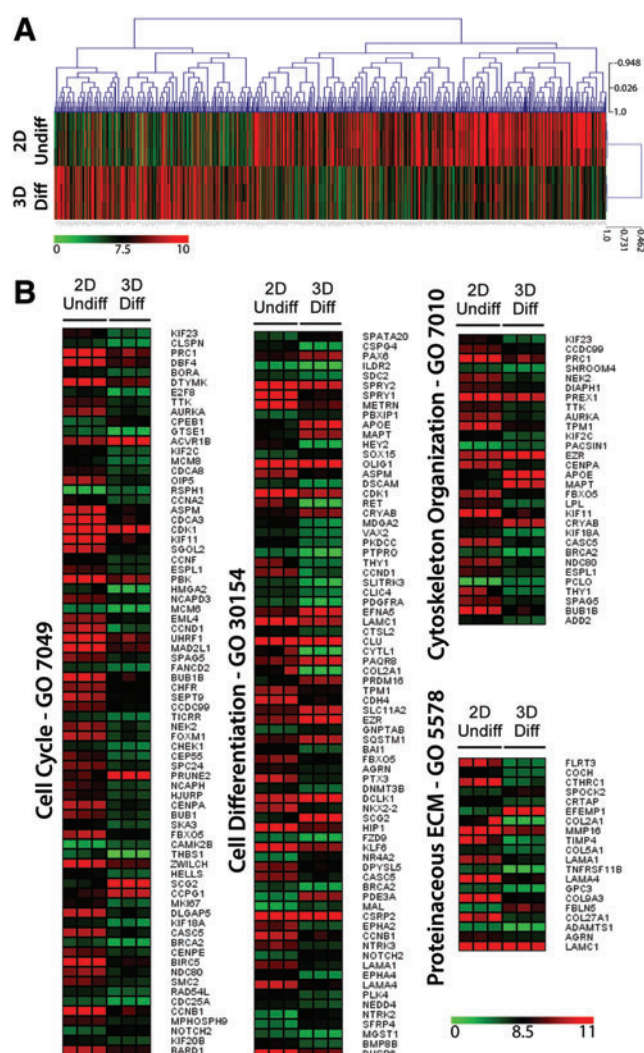


FIG. 5. Global gene expression analysis of differentiated hmNPC neurospheres. (A) Hierarchical clustering of the levels of modulation of 807 probes, corresponding to 664 unique genes, significantly altered ($FDR \leq 0.01$, 2-fold change in up-regulated and downregulated) compared with undifferentiated cells (data of three independent cultures; each column represents an independent sample). Color bar represents the scale of RMA-normalized log2-transformed expression value of each transcript of the replicates. (B) Heat maps of the expression patterns (RMA normalized values) of genes altered in each replicate of four significant gene ontology categories (corrected p -value < 0.05) according to a color scale ranging from green to red. Enriched biological clusters consist in cell cycle ($FDR 4.2 \times 10^{-18}$), cell differentiation (3.2×10^{-4}), proteinaceous extracellular matrix (6.9×10^{-3}), and cytoskeleton organization (2.58×10^{-3}). FDR, false discovery rate; RMA, robust multi-array average. Color images available online at www.liebertpub.com/tea

were increased. The activation of TGF β 1-signaling-associated genes, such as *ACVR1B* or *PRUNE2*, which are involved in the maintenance of mature CNS,²⁹ suggests maturation of the neuronal population. Several ECM-associated genes were downregulated after differentiation, such as genes involved in collagen synthesis and binding (*COL2A1*, *COL5A1*, *COL9A3*, *COL27A1*, *CTHRC1*, and *CRTAP*), laminin synthesis

(*LAMA1* and *LAMA4*), and fibronectin binding (*FLRT3*). Concomitantly, an upregulation of *SPOCK2*, *EFEMP1*, and *FBLN5* genes, which code for proteins involved in glycosaminoglycan binding and proteoglycans,³⁰ was observed. Together these results suggested a significant remodeling of the ECM composition in the 3D environment of the differentiated neurospheres toward a higher similarity to the *in vivo* neural ECM composition.³¹

Extended 3D differentiation enhances dopaminergic phenotype

Neuronal differentiation toward the dopaminergic lineage depends on specific developmental programs that rely on the sequential activation of specific transcription factors. At 21Diff, an increase in the expression levels of *NURR1*, a transcription factor critical for the development of the dopaminergic phenotype; tyrosine hydroxylase (*TH*), the rate-limiting enzyme in dopamine synthesis; and dopamine receptor D2 (*DRD2*) indicated the activation of genetic pathways controlling the dopaminergic phenotype (Fig. 6A–C). Extending the differentiation process for additional 18 days in presence of cAMP led to a significant upregulation of these markers (10-, 450-, and 4-fold increase at 18Mat relatively to day 7, for *NURR1*, *TH*, and *DRD2*, respectively) (Fig. 6A–C). Moreover, the increase in TH protein levels along differentiation (Fig. 6D) and detection of cells positive for TH (Fig. 6E), as well as dopaminergic transcription factor Pitx3 (Fig. 6F), at 18Mat further suggested the establishment and maintenance of the dopaminergic phenotype.

Along with the expression of dopaminergic markers, a significant upregulation of the presynaptic vesicular GABA transporter (vGAT) was observed (Supplementary Fig. S3A), suggesting the coexistence of dopaminergic and GABAergic neuronal populations.

Altogether, our results indicated that hmNPC neurospheres retained their midbrain developmental patterns by expressing key dopaminergic markers upon differentiation, and revealed the importance of extending the differentiation process by 18 days to increase the neuronal differentiation efficiency.

Neuronal maturation and synaptic functionality

In addition to the increased expression of key lineage-specific markers, it was essential to demonstrate the functional properties of the differentiated cells derived from hmNPC neurospheres. To assess neuronal synaptic maturation, we analyzed the expression of proteins involved in synaptic formation and homeostasis. Expression of different presynaptic markers, such as synapsin II (*SYN2*), synaptophysin (*SYP*), and synaptotagmin I (*SYT1*), gradually increased during differentiation, reaching up to 5-, 2-, and 6-fold greater levels by the end of the differentiation process (Fig. 7A). Additionally, synaptophysin, an integral presynaptic vesicle glycoprotein, was detected in a typical punctate pattern across the differentiated neurospheres (Fig. 7B). These results together with the ultrastructural evidence (Fig. 2) suggest that presynaptic components cluster in differentiated hmNPC neurospheres into synaptic vesicle-like organelles. The 1.5-fold increase in postsynaptic density protein 95 (PSD95) at 18Mat suggested the accumulation of postsynaptic markers in these cultures (Fig. 7A).

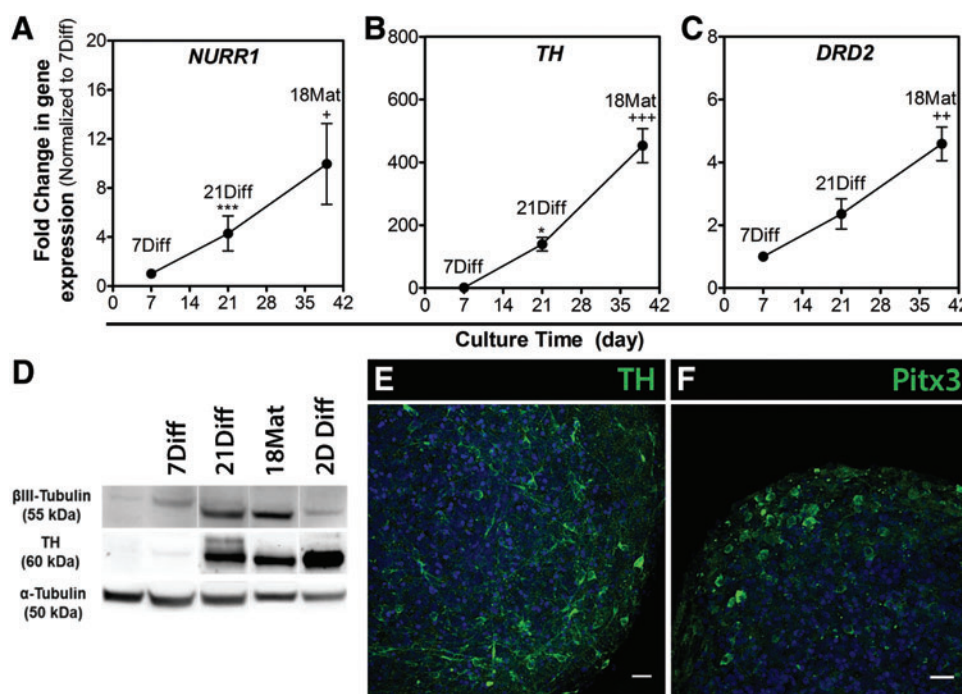


FIG. 6. Dopaminergic differentiation of hmNPC neurospheres. (A–C) qRT-PCR analysis of *NURR1* (A), *TH* (B), and *DRD2* (C) gene expression. Fold changes in gene expression were normalized to 7Diff. Data are mean \pm SEM of three independent cultures; asterisks indicate significant difference (* $p < 0.05$, *** $p < 0.001$ vs. 7Diff; + $p < 0.05$, ++ $p < 0.01$, +++ $p < 0.001$ vs. 21Diff) by a one-way ANOVA analysis with a Tukey's *post-hoc* multiple-comparison test. (D) Western blot analysis of undifferentiated hmNPCs and neurospheres along differentiation. α -Tubulin detection was used as loading control. Data from one representative experiment of three independent experiments. P0—undifferentiated hmNPCs expanded in 2D culture systems. (E, F) Confocal immunofluorescence microscopy of whole neurospheres from 18Mat. Maximum-intensity z-projections of 5 (E) and 56 (F) optical sections of 0.5 (E) and 0.33 μ m (F). Detection of TH and Pitx3; nuclei were labeled with TO-PRO-3. Scale bar = 20 μ m. Color images available online at www.liebertpub.com/tea

Synaptic activity was assessed using the fluorescent probe FM1-43,^{32,33} by the ability of differentiated neurospheres to respond to depolarizing stimuli. Neurospheres at different stages of differentiation were loaded with FM1-43, and their destaining kinetics upon depolarization indicated that the same depolarizing stimuli led to a modest decrease in the fluorescence intensity of 7Diff and 21Diff neurospheres, when compared with neurospheres at the end of the differentiation process (18Mat), in which fluorescence dropped to less than half of its initial value (Fig. 7D). These results suggested that differentiated neurospheres showed a higher number of mature neurons with functional synaptic terminals, which were able to respond to depolarizing stimuli.

To further assess neuronal functionality, Fluo-4-based Ca^{2+} imaging studies were performed in 18Mat neurospheres (Fig. 7C; Supplementary Video S4). A variety of spontaneous firing patterns identified in individual cells may suggest the presence of both neurons and astrocytes, since the latter can also present spontaneous Ca^{2+} transients, although with significant lower frequencies compared with neurons.^{34,35}

Moreover, an important physiological property of dopaminergic neurons is their ability to produce and release dopamine in response to a depolarizing stimulus. Differentiated neurospheres (18Mat) in presence of 100 mM KCl were able to respond, releasing 252 ng of dopamine per mg of total protein (Fig. 7E), further indicating a mature phe-

notype of the dopaminergic neurons in culture. In agreement with observation of upregulation of GABAergic marker vGAT, differentiated hmNPC neurospheres were also able to synthesize and release GABA (Supplementary Fig. S3B), in a KCl-dependent response, further suggesting the presence of mature GABAergic neurons.

Voltage-activated and glutamate-evoked currents

Functionality of neurons within differentiated neurospheres was further assessed by whole-cell voltage-clamp recordings. Cells exhibited hyperpolarized membrane potential values ($V_m = -70.1 \pm 0.3$ mV, $n = 9$), indicating a high level of polarity. Voltage-activated potassium currents recorded at physiological K^+ concentrations generated typical neuronal outward currents (Fig. 8A). The outward current following the prepulse to -120 mV comprised two major components, I_{fast} and I_{slow} , which were fit with a sum of two exponentials to determine time constants of 25.9 ± 0.9 ms ($n = 9$) (τ_{fast}) and 234.4 ± 5.4 ms ($n = 9$) (τ_{slow}). In contrast, the outward current following a prepulse to -30 mV comprised only one slow component, as current decay was best fit by a single exponential with a time constant of 235.7 ± 6.3 ms ($n = 7$).

The subtraction of the two current traces enabled to isolate A-type-like currents (I_{fast}), which were quickly activated (≤ 3 ms), indicating a strong dependency on voltage for inactivation. The current decay was best fit with a single

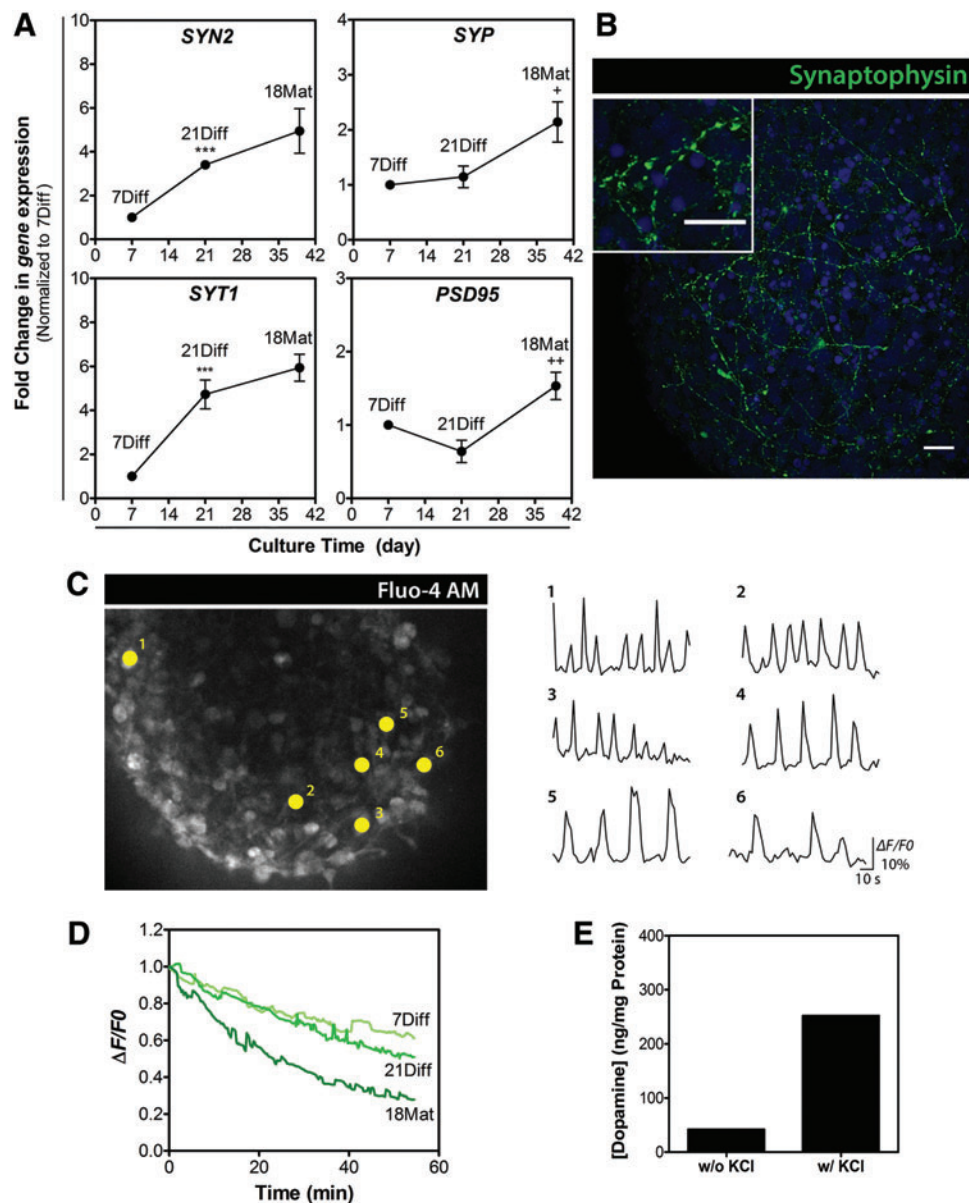


FIG. 7. Synaptic marker enrichment and synaptic vesicle trafficking in hmNPC neurospheres along differentiation. **(A)** qRT-PCR analysis of *SYN2*, *SYP*, *SYT1*, and *PSD95* gene expression. Fold changes in gene expression were normalized to 7Diff. Data are mean \pm SEM of three independent cultures; asterisks indicate significant difference (*** $p < 0.001$ vs. 7Diff; * $p < 0.05$, ** $p < 0.01$ vs. 21Diff) by a one-way ANOVA analysis with a Tukey's *post-hoc* multiple-comparison test. **(B)** Confocal immunofluorescence microscopy of whole neurospheres from 18Mat. Maximum-intensity z-projections of 16 optical sections of 0.5 μ m. Detection of synaptophysin; nuclei were labeled with TO-PRO-3. Scale bars = 20 μ m. **(C)** Spontaneous Ca^{2+} oscillations in differentiated neurospheres at day 18Mat. Analysis of Ca^{2+} oscillations in individual neurons (numbers correspond to individual cells analyzed simultaneously); data are from one representative experiment of three independent experiments. **(D)** Fluorescence intensity analysis of FM 1-43 dye in exocytosis-inducing conditions; data are from one representative experiment of three independent experiments. **(E)** Dopamine release quantification with and without KCl stimuli; data are from one representative experiment of three independent experiments. qRT-PCR, quantitative reverse transcription polymerase chain reaction. Color images available online at www.liebertpub.com/tea

exponential with time constant of 23.4 ± 1.1 ms ($n = 7$), values of the same range as those reported as typical for A-type current.³⁶ K^+ currents were also characterized in terms of voltage dependence of activation (Fig. 8B), where current records were converted to conductance, and steady-state inactivation (Fig. 8C). Data were fit with the Boltzmann equation (Eq. 1) obtaining for activation a $V_{1/2}$ value of

-0.3 ± 0.4 mV ($n = 4$) and 5.3 ± 0.5 mV ($n = 4$), for I_{fast} and I_{slow} , respectively. As for inactivation a $V_{1/2}$ value of -81.3 ± 1.6 mV ($n = 3$) was obtained.

As midbrain mainly receives glutamatergic projections from other surrounding brain regions,³⁷ glutamate-evoked ionic currents were evaluated to assess the functionality of glutamate receptors. Glutamate was added to clamped cells

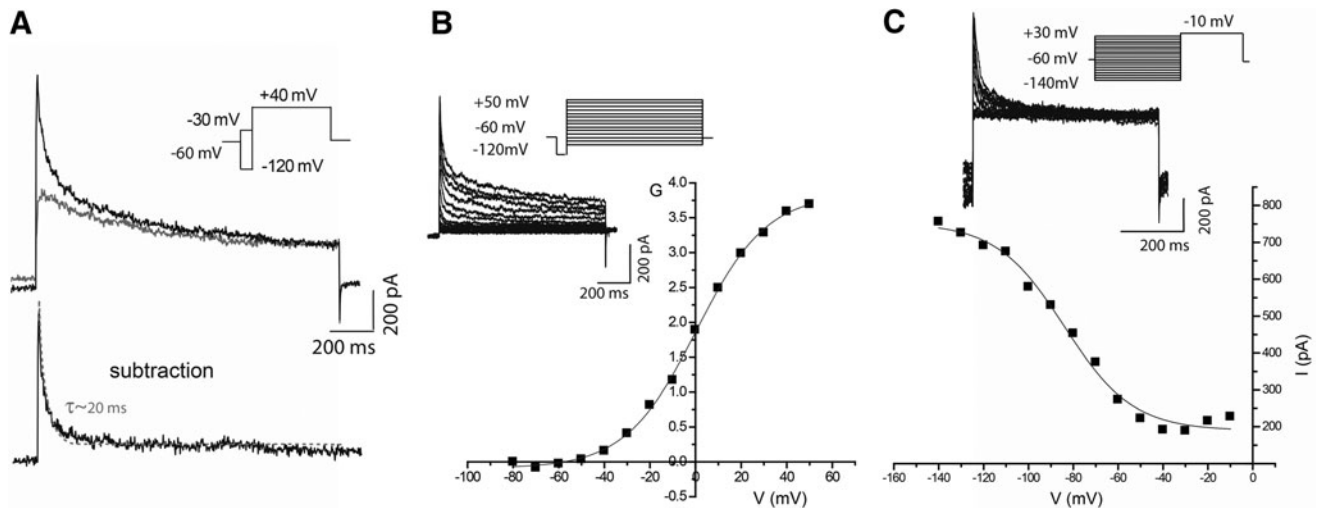


FIG. 8. Voltage-activated potassium (K_v) currents in hmNPC-differentiated neurospheres. **(A)** Whole-cell voltage-activated K^+ current was evoked by a depolarizing step to +40 mV for 0.8 s (holding potential of -60 mV) preceded by a prepulse of 150 ms to -120 mV (black trace) or to -30 mV (gray trace). On the bottom the resultant subtraction of both currents is shown to isolate the fast-current component (A-type), which was best fit by a single exponential (discontinuous line) with a time constant of 20.1 ms. **(B, C)** Voltage dependence of steady-state activation **(B)** and inactivation **(C)**. **(B)** Currents evoked by depolarizing steps in increments of 10 mV (1040 ms in duration) following a -120 mV hyperpolarizing prepulse (150 ms in duration from holding potential of -60 mV). Consequent activation curve of peak current ($V_{1/2} = -0.3 \pm 0.4$ mV; $n=4$). **(C)** Currents evoked by a command pulse to +40 mV (400 ms in duration) preceded by prepulses from -140 to +30 mV (600 ms in duration from -60 mV), in 10-mV increments. Consequent inactivation curve of peak current ($V_{1/2} = -81.3 \pm 1.6$ mV; $n=3$).

under different holding potentials, with increased responses observed at a more hyperpolarized potential (-70 mV) and reversed currents obtained under positive potentials (Fig. 9A). A linear current-voltage relationship was obtained with a reversing potential close to 0 mV (Fig. 9B). The observed glutamate-evoked currents were characterized by a dual-phase response, which was more noticeable over a longer period of time (Fig. 9C), with a faster response followed by a slower and more sustained current. In the presence of antagonists of AMPA/kainate and NMDA glutamate receptors (10 μ M CNQX and 10 μ M AP5, respectively), no or small responses to glutamate were registered (Fig. 9D). After 15 min washing, the same clamped cell ($n=3$) exhibited a robust response to glutamate, confirming the presence and specific activation of glutamate receptors during glutamate application.

Discussion

In this study, we demonstrated that hmNPCs can be efficiently differentiated within neurospheres into functional dopaminergic and GABAergic neurons and cells from the astrocytic and oligodendrocytic lineages, and be maintained in long-term *in vitro* cultures. By providing homogeneous aggregation and efficient differentiation of hmNPCs, with establishment of 3D cell-cell interactions, we attained an efficient scalable culture system that is directly transferable to feeding of HTS platforms. These features are critical for basic research on the pathways responsible for the onset and progression of human neurological disorders, as well as for drug discovery.

The cell model presented herein can represent an alternative to organotypic cultures in which cytoarchitecture and

cellular functionality of the original tissue^{6,38} are preserved but fail in maintaining long-term cellular viability and phenotype and present limited availability.

hmNPCs have been initially established and extensively described in 2D culture systems, demonstrating an efficient differentiation into the dopaminergic lineage.^{11,39,40} Nevertheless, these 2D culture systems recapitulate to a lower extent the three-dimensional cell-cell interactions of the brain. We have previously demonstrated that hmNPCs can also be efficiently differentiated as neurospheres, expressing several neuronal and dopaminergic-specific markers after 14 days of differentiation.¹⁰

In this work, we further explored the neuronal differentiation process, successfully aiding the progression into later developmental stages, attaining maturation and synaptic functionality, by mimicking the developmental stages that multipotent mesencephalic progenitors undergo to acquire a mature dopaminergic neuronal phenotype. Differentiation of hmNPC neurospheres activated the expression of *NURR1*, with significant upregulation of the mature dopaminergic markers, *Pitx3*, *TH*, and *DRD2*, suggesting the preservation of midbrain region identity and developmental programs. These depend on the integration of various external cues culminating in the activation of signaling pathways, such as the Wnt/ β -catenin canonical pathway that mediates the proliferation and differentiation of *NURR1*⁺ immature dopaminergic neurons.^{41,42} In later stages of differentiation, *NURR1* is required for dopaminergic neuron specification and maturation, regulating the expression of mature markers, such as *Pitx3* and *TH*.⁴³⁻⁴⁵

Moreover, the expression of vGAT and the ability of differentiated neurospheres to synthesize and release GABA upon stimuli suggested the presence of a GABAergic

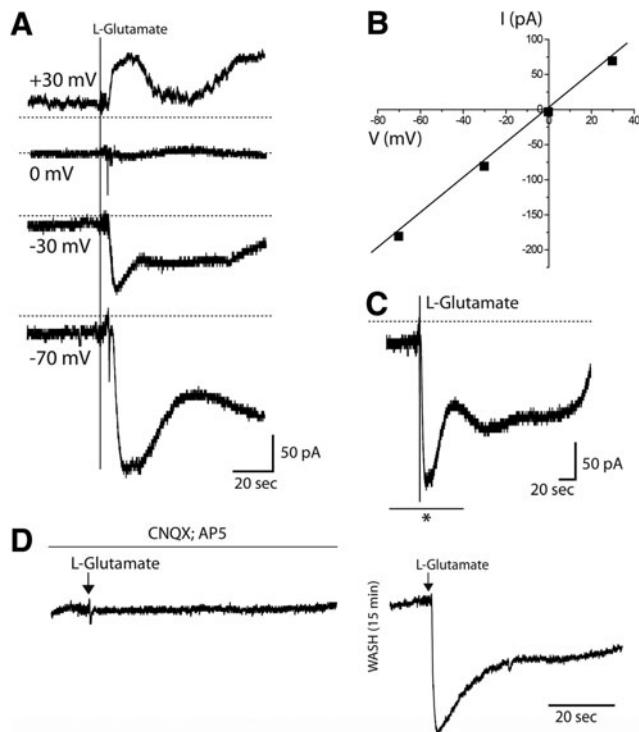


FIG. 9. Whole-cell voltage-clamp recordings of glutamate-gated currents in hmNPC-differentiated neurospheres. (A) Glutamate-gated responses from holding potentials of +30, 0, -30, and -70 mV. (B) Peak current–voltage (I – V) relationship of glutamate-evoked responses. (C) Glutamate-evoked response displayed with longer period to show typical dual response to glutamate [*represents time detail of recording at -70 mV in the bottom panel of (A)]. (D) Glutamate-gated current inhibition by CNQX and AP5 (AMPA/kainate and NMDA antagonists, respectively), response recovered upon 15-min wash.

population, as previously demonstrated in 2D cultures of differentiated hmNPCs.⁴⁶ These evidences further suggest that the developed 3D model can mimic the main midbrain developmental pathways, generating heterogeneous neurospheres with the two neuronal subpopulations found in the human midbrain, dopaminergic and GABAergic.³⁷

Extension of the differentiation process was performed in presence of cAMP, which has been described to promote the differentiation, maturation, and survival of midbrain dopaminergic neurons.^{47,48} Moreover, cAMP has been reported to enhance neuronal differentiation in NPCs derived from other brain regions, such as forebrain in murine NPCs,⁴⁹ and also in other pluri/multipotent cells, such as mesenchymal stem cells, inducing the expression of *NURR1* and *TH*.⁵⁰

The transition from multipotent neural progenitors toward a mixed culture of differentiated neural cells induced modulation of cellular metabolism. The maintenance of a highly glycolytic phenotype despite lower glucose consumption, together with increased pyruvate consumption, suggested the recapitulation of metabolic features of mature neural cells. Both neurons and astrocytes can utilize extracellular pyruvate⁵¹ and production of lactate from pyruvate has been reported for neurons cultured in glucose-containing medium

as a faster way to recycle NAD^+ produced in glycolysis.⁵² Neural reliance on glycolytic metabolism has been linked to the high energy requirements of the brain, where glycolysis may act as a fast-response pathway to accommodate high ATP demands, namely, to enable the constant activity of Na^+/K^+ ATPases, essential for maintenance of neuronal membrane ionic gradients^{53,54} and/or provide local ATP supply to molecular motors.⁵⁵

Differentiated neurospheres presented significantly increased accumulation of pyroglutamate, which can derive from degradation of proteins containing modified N-terminal glutamic acid residues or from glutamate/glutamine cyclization⁵⁶ and has been suggested to act as a reservoir of neural glutamate, the main excitatory neurotransmitter in the CNS.⁵⁷

The accumulation of BCAA catabolism intermediates (2-oxoisocaproate and methylsuccinate) points to recapitulation of important astrocyte–neuron nitrogen shuttling systems of human CNS,⁵⁸ complementing the extensively described glutamine–glutamate cycle between neurons and astrocytes.⁵⁴ Our results suggest that establishment of some of these nitrogen shuttles may occur in an early stage of CNS development and maturation.

The developed cell model, in combination with NMR and/or mass spectrometry analyses of isotopic (^{13}C and ^{15}N) tracer studies, can be applied in depicting these neural metabolic shuttles and contribute to increase the mechanistic understanding on the correlation between cell metabolism and stemness/cell identity determination driven by genetic and epigenetic switches.⁵⁹

Upon differentiation, neurospheres exhibited extensive ECM composition remodeling and morphological rearrangements. Gene expression results evidenced a closer resemblance to the *in vivo* neural ECM, which is mainly comprised of glycosaminoglycans, namely, hyaluronan, heparan sulfate proteoglycans, and chondroitin sulfate proteoglycans and displays low levels of fibrillar proteins, such as collagens, fibronectin, and laminin,^{31,60} regularly used as matrix in 2D cultures. This was accompanied by changes in plasma membrane architecture, with a transition from highly prevalent lamellipodia found in undifferentiated neurospheres toward a dense filopodia network in differentiated neurospheres. Filopodia have been shown to be paramount in dendritic branching, axonal development, and synapse formation.⁶¹ Formation of newborn synapses is concomitant with an increase in expression of synaptic markers.⁶² Expression levels of synaptic proteins, such as SYN2, SYP, SYT1, and PSD95, steadily increased during hmNPC neurosphere differentiation. Moreover, synaptophysin-positive organelles could be detected in puncta along neuronal processes, suggesting that these neurons are fully competent for the biogenesis and clustering of synaptic vesicles.

Neurons in differentiated neurospheres were able to elicit spontaneously Ca^{2+} oscillations at frequencies reminiscent of action potential firing, as well as to respond to depolarization stimuli leading to FM-1-43 and neurotransmitter release (dopamine and GABA). These results indicate that midbrain neurons generated in hmNPC neurospheres contain functional synapses, where the rapid influx of Ca^{2+} through voltage-dependent Ca^{2+} channels arriving in the nerve terminals triggers fusion of neurotransmitter-containing vesicles with the plasma membrane, leading to neurotransmitter

release into the synaptic shaft.⁶³ Further, the electrophysiology recordings, which to our knowledge are the first recordings from single cells within the 3D structure of neurospheres, demonstrated that the generated neurons were fully polarized (V_m of approximately -70 mV) at potentials modulated by functional voltage-activated ion channels. The registered voltage-activated K^+ currents pointed out for the existence of at least two populations of voltage-gate K^+ channels (K_V), one underlying I_{fast} and other underlying I_{slow} . The conspicuous I_{fast} component showed typical patterns of an A-type current, which are perceived to have high relevance in numerous physiological and pathological contexts. From the K_V channels/subunits that are known to be responsible to trigger A-type currents, two emerge as probable candidates to underlie the I_{fast} component. Considering that this current showed (1) a voltage profile for activation with a $V_{1/2}$ of around -10 mV (considering a -9 mV junctional potential), which points out for high threshold activating channels; (2) a hyperpolarized voltage dependence of inactivation; and, most noticeably, (3) a time constant for the inactivation time course in the order of 20 ms, the channels $K_{V1.4}$ and $K_{V4.2}$ are envisaged to be the most likely candidates to be present in neurons of hmNPC-differentiated neurospheres. Indeed, extensive characterization on a predominant A-type current in hmNPCs differentiated in 2D cultures was reported,⁶⁴ which according to the authors is evoked by $K_{V4.2}$ channels. Nevertheless, one cannot exclude the possibility of $K_{V1.4}$ channels to be also present in the differentiated neurospheres.

Moreover, the excitability of differentiated hmNPC neurospheres was challenged by the addition of glutamate, with the generated neurons demonstrating to be able to elicit glutamate-gated currents with two clear components, one faster followed by a slower and more sustained current. The linear voltage–current relationship reversing close to 0 mV corroborates the involvement of channels with unspecific cationic conductance. Further, these currents were blocked by incubation of AMPA/kainate and NMDA antagonists (CNQX and AP5, respectively). Altogether, these results showed that hmNPC neurospheres contain mature neurons with functional glutamate receptors, which are most likely AMPA/kainate and NMDA receptor types, as well as the associated channels. These observations are in agreement to what was described previously for hmNPC differentiated in 2D cultures.⁶⁵ The presence of functional postsynaptic glutamatergic molecular machinery further suggests that the developed 3D model recapitulates *in vivo* features, since the midbrain is known to receive and integrate multiple glutamatergic inputs from other brain regions.³⁷

In this work, we established a novel culture system that yields a reproducible human 3D CNS cell model enriched in dopaminergic neurons. By combining scalable protocols with an extensive toolbox of characterization methods, we have generated a comprehensive set of developmental data on the *in vitro* differentiation of human midbrain neurons. This approach can be extended to other sources of human neural stem cells, such as patient-derived iPSCs, based on recent reports on the generation of regionally specified neural progenitors from human pluripotent stem cells under defined conditions.⁶⁶ The exploitation of these novel cellular models is likely to boost our mechanistic understanding of

the pathogenesis of human disorders as well as accelerate the discovery of new therapeutics.

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Disclosure Statement

No competing financial interests exist.

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